



Schweizerische Eidgenossenschaft
Confédération suisse
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Schweizerisches Handelsamtsblatt SHAB
Feuille officielle suisse du commerce FOSC
Foglio ufficiale svizzero di commercio FUSC
Swiss Official Gazette of Commerce SOGC

Rubrik: Finanzmarkt
Unterrubrik: Bilanz
Publikationsdatum: SHAB 19.08.2022
Voraussichtliches Ablaufdatum: 19.08.2023
Meldungsnummer: FM02-0000000233

Publizierende Stelle
IBKR Financial Services AG, Gotthardstrasse 3, 6300 Zug

Zwischenbilanz IBKR Financial Services AG

IBKR Financial Services AG
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6300 Zug

Bilanzdatum: 30.06.2022
Vorangehendes Halbjahr: 30.06.2021

Aktiven: CHF 2'872'127'404.00
Aktiven vorangehendes Halbjahr: CHF 2'878'179'004.00

Passiven: CHF 2'872'127'404.00
Passiven vorangehendes Halbjahr: CHF 2'878'179'004.00

Ausserbilanzgeschäfte: CHF 2'000.00
Ausserbilanzgeschäfte vorangehendes Halbjahr: CHF 2'000.00

Verlust: CHF 18'583'717.00
Gewinn vorangehendes Halbjahr: CHF 11'283'750.00

Rechtliche Hinweise:
Unaudited Statement of Financial Condition
Statement prepared according to the True and Fair View, chapter IV, FINMA circular
2020/1 - Accounting banks

IBKR FINANCIAL SERVICES AG
Statement of Financial Condition
Swiss Banking Regulatory Format
As per June 30, 2022 and December 31, 2021
(Unaudited)
(In CHF)

	30.06.2022 CHF	31.12.2021 CHF
<u>Assets</u>		
Liquid assets	52,685,447	9,862,075
Amount due from banks	466,607,650	467,807,698
Amounts due from clients	17,200,868	12,856,607
Amounts due from securities financing transactions	2,002,035,166	2,195,296,043
Trading portfolio assets	273,760,629	429,176,350
Positive replacement values of derivative financial instruments	21,347,515	17,328,175
Financial investments	0	0
Accrued income and prepaid expenses	961,468	403,736
Participations	1,769,298	1,769,298
Tangible fixed assets	6,370,956	5,295,221
Other assets	29,388,407	10,824,916
Total Assets	2,872,127,404	3,150,620,119

Total subordinated claims	0	0
of which subject to mandatory conversion and/or debt waiver	0	0

Liabilities & Equity

Amounts due to banks	208,144,289	296,875,605
Amounts due to clients	0	378,717
Liabilities from securities financing transactions	1,954,765,372	2,151,561,398
Trading portfolio liabilities	140,128,989	122,942,204
Negative replacement values of derivative financial instruments	24,414,694	16,483,480
Accrued expenses and deferred income	12,374,700	11,855,578
Other liabilities	2,965,300	2,720,974
Value adjustments and provisions	0	2,462,751
Provisions	2,578,365	0
Reserves for general banking risks	1,476,309	1,476,309
Total Liabilities	2,346,848,018	2,606,757,016

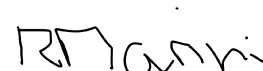
Company's capital	4,736,246	4,736,246
Statutory retained earning reserve	3,556,260	3,556,260
Profit carried forward	535,570,597	551,981,151
Profit/loss	-18,583,717	-16,410,554
Total Equity Capital	525,279,386	543,863,103

Total Liabilities and Equity Capital	2,872,127,404	3,150,620,119
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Total subordinated claims	0	0
of which subject to mandatory conversion and/or debt waiver	0	0

Off-Balance Sheet transactions

Irrevocable commitment	2,000	2,000
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IBKR FINANCIAL SERVICES AG
Statement of Financial Condition
Swiss Banking Regulatory Format
For the Six Months ending June 30, 2022 and 2021
(Unaudited)

	1.1. - 30.6.2022 <u>CHF</u>	1.1. - 30.6.2021 <u>CHF</u>
Result from interest operations		
Interest and discount income	41,056,118	42,118,459
Interest and dividend income from trading portfolios	1,283,562	-255,980
Interest and dividend income from financial investments	0	0
Interest expense	-36,014,279	-35,996,031
Gross result from interest operations	6,325,401	5,866,448
Changes in value adjustments for default risks and losses resulting from interest operations	0	0
Subtotal net result from interest operations	6,325,401	5,866,448
Result from commission business and services:		
Commission income from securities trading and investment activities	6,864,215	5,730,794
Commission income from other services	19,118,687	18,314,556
Commission expenses	0	0
Subtotal result from commission business and services	25,982,902	24,045,350
Result from trading activities and the fair value option	-28,648,421	8,682,026
Other result from ordinary activities:		
Other ordinary income	233	1
Other ordinary loss	0	0
Subtotal other result from ordinary activities	233	1
Operating expenses:		
Personnel Expenses	-19,388,702	-18,571,737
General and administrative expenses	-4,503,905	-6,620,271
Subtotal operating expenses	-23,892,607	-25,192,008
Value adjustments on participations and depreciation and amortisation of tangible fixed assets and intangible assets	-608,482	-429,560
Changes to provision and other value adjustments	0	0
Operating result	-20,840,974	12,972,257
Extraordinary Income	0	83,638
Extraordinary Expenses	0	0
Changes in reserve for general banking risks	0	0
Taxes	2,257,257	-1,772,145
Profit/(loss)	<u>-18,583,717</u>	<u>11,283,750</u>