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IBKR Financial Services AG, Gotthardstrasse 3, 6300 Zug

## Zwischenbilanz IBKR Financial Services AG

IBKR Financial Services AG

CHE-107.512.885

Gotthardstrasse 3

6300 Zug

**Bilanzdatum: 30.06.2020**

Vorangehendes Halbjahr: 30.06.2019

**Aktiven: CHF 1'981'903'412.00**

Aktiven vorangehendes Halbjahr: CHF 1'956'590'045.00

**Passiven: CHF 1'981'903'412.00**

Passiven vorangehendes Halbjahr: CHF 1'956'590'045.00

**Ausserbilanzgeschäfte: CHF .00**

Ausserbilanzgeschäfte vorangehendes Halbjahr: CHF .00

**Verlust: CHF 16'306'713.00**

Verlust vorangehendes Halbjahr: CHF 594'474.00

**Rechtliche Hinweise:**

Unaudited Statement of Financial Condition.

Statement prepared according to the True and Fair View, chapter IV, FINMA Circular 2020/1 Accounting – banks and FINMA Accounting Ordinance.

IBKR Financial Services AG  
Statement of Financial Condition  
Swiss Banking Regulatory Format  
As per June 30, 2020 and December 31, 2019  
(unaudited)  
(in CHF)

	30.06.2020 CHF	31.12.2019 CHF
<b>Assets</b>		
Liquid assets	3'946'211	173'347'364
Amounts due from banks	508'664'289	253'453'752
Amounts due from clients	6'377'447	5'519'264
Amounts due from securities financing transactions	1'177'936'846	1'105'376'986
Trading portfolio assets	231'584'368	382'765'183
Positive replacement values of derivative financial instruments	28'193'637	15'933'957
Accrued income and prepaid expenses	661'325	122'037
Participations	7'601'645	7'601'645
Tangible fixed assets	761'496	488'109
Other assets	16'176'149	11'981'748
<b>Total assets</b>	<b>1'981'903'412</b>	<b>1'956'590'045</b>
Total subordinated claims	0	0
- of which subject to mandatory conversion and/or debt waiver	0	0
<b>Liabilities</b>		
Amounts due to banks	134'462'732	171'105'449
Amounts due to clients	37'046'250	37'591'706
Liabilities from securities financing transactions	1'110'219'028	1'057'192'369
Trading portfolio liabilities	113'008'800	90'446'725
Negative replacement values of derivative financial instruments	25'911'965	21'609'560
Accrued expenses and deferred income	9'018'329	9'917'686
Other liabilities	3'482'698	3'666'227
Provisions	0	0
Reserves for general banking risks	4'302'713	4'302'713
Company's capital	4'736'246	4'736'246
Statutory retained earnings reserve	3'556'260	3'556'260
Profit carried forward	552'465'104	561'490'621
Profit/Loss	-16'306'713	-9'025'517
<b>Total liabilities</b>	<b>1'981'903'412</b>	<b>1'956'590'045</b>
Total subordinated liabilities	0	0
- of which subject to mandatory conversion and/or debt waiver	0	0
<b>Off-balance-sheet transactions</b>		
Contribution Deposit Insurance	2'000	2'000

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IBKR Financial Services AG  
Statement of Income  
Swiss Banking Regulatory Format  
For the Six Months ending June 30, 2020 and 2019  
(unaudited)  
(in CHF)

	For the period through June 30, 2020 CHF	For the period through June 30, 2019 CHF
<b>Income statement</b>		
Result from interest operations		
<i>Interest and discount income</i>	24'755'102	18'129'256
<i>Interest and dividend income from trading portfolios</i>	48'932	3'528'783
<i>Interest and dividend income from financial investments</i>		-
<i>Interest expense</i>	(18'314'392)	(10'494'695)
<i>Gross result from interest operations</i>	6'489'642	11'163'344
<i>Changes in value adjustments for default risks and losses resulting from interest operations</i>	-	-
<b>Subtotal net result from interest operations</b>	<b>6'489'642</b>	<b>11'163'344</b>
Result from commission business and services		
<i>Commission income from securities trading and investment activities</i>	6'862'471	5'208'776
<i>Commission income from other services</i>	15'632'730	16'218'092
<i>Commission expense</i>	-	-
<b>Subtotal result from commission business and services</b>	<b>22'495'201</b>	<b>21'426'868</b>
	0	
Result from trading activities and the fair value option	(26'117'371)	(12'662'341)
Other result from ordinary activities		
<i>Other ordinary income</i>	(2)	1'000
<i>Other ordinary expenses</i>	-	-
<i>Income from participations</i>	-	-
<b>Subtotal other result from ordinary activities</b>	<b>(2)</b>	<b>1'000</b>
Operating expenses		
<i>Personnel expenses</i>	(16'652'625)	(16'766'321)
<i>General and administrative expenses</i>	(3'615'336)	(3'564'584)
<b>Subtotal operating expenses</b>	<b>(20'267'961)</b>	<b>(20'330'905)</b>
Gross loss	(17'400'491)	(402'034)
Value adjustments on participations and depreciation and amortisation of tangible fixed assets and intangible assets	(114'110)	(132'585)
Changes to provisions and other value adjustments, and losses	-	-
<b>Operating result</b>	<b>(17'514'601)</b>	<b>(534'619)</b>
Extraordinary income	-	-
Extraordinary expenses	-	-
Changes in reserve for general banking risks	-	-
Taxes	1'207'888	(59'855)
<b>Profit/Loss</b>	<b>(16'306'713)</b>	<b>(594'474)</b>

 *R. Manin*