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IBKR Financial Services AG, Gubelstrasse 28, 6300 Zug

Zwischenbilanz IBKR Financial Services AG

IBKR Financial Services AG
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6300 Zug

Bilanzdatum: 30.06.2023
Vorangehendes Halbjahr: 30.06.2022

Aktiven: CHF 2'423'479'493.00
Aktiven vorangehendes Halbjahr: CHF 2'872'127'404.00

Passiven: CHF 2'423'479'493.00
Passiven vorangehendes Halbjahr: CHF 2'872'127'404.00

Ausserbilanzgeschäfte: CHF 2'000.00
Ausserbilanzgeschäfte vorangehendes Halbjahr: CHF 2'000.00

Verlust: CHF 13'655'994.00
Verlust vorangehendes Halbjahr: CHF 18'583'717.00

Rechtliche Hinweise:
Unaudited Statement of Financial Condition
Statement prepared according to the True and Fair View, chapter IV, FINMA circular
2020/1 - Accounting banks

IBKR FINANCIAL SERVICES AG
Statement of Financial Condition
Swiss Banking Regulatory Format
As per June 30, 2023 and December 31, 2022
(Unaudited)
(in CHF)

	30/06/2023 CHF	31/12/2022 CHF
<u>Assets</u>		
Liquid assets	9,861,361	59,761,455
Amounts due from banks	358,540,441	387,743,047
Amounts due from clients	11,101,540	18,362,221
Amounts due from securities financing transactions	1,662,537,021	1,899,386,452
Trading portfolio assets	327,115,506	276,598,295
Positive replacement values of derivative financial instruments	12,440,307	34,081,097
Accrued income and prepaid expenses	2,523,703	962,041
Participations	106,381	106,380
Tangible fixed assets	12,410,161	10,315,060
Other assets	26,843,072	6,882,855
Total assets	2,423,479,493	2,694,198,903

Total subordinated claims	0	0
- of which subject to mandatory conversion and/or debt waiver	0	0

Liabilities

Amounts due to banks	171,566,734	237,819,900
Amounts due to clients	814,848	0
Liabilities from securities financing transactions	1,629,701,839	1,817,013,789
Trading portfolio liabilities	96,909,421	104,816,813
Negative replacement values of derivative financial instruments	16,822,633	11,851,358
Accrued expenses and deferred income	12,308,412	13,356,650
Other liabilities	3,177,394	1,657,008
Value adjustments and provisions	0	1,849,180
Provisions	0	0
Reserves for general banking risks	9,684,658	9,684,658
Company's capital	4,736,246	4,736,246
Statutory retained earnings reserve	3,556,260	3,556,260
Profit carried forward	487,857,041	535,570,597
Profit/Loss	-13,655,994	-47,713,556
Total liabilities	2,423,479,493	2,694,198,903

Total subordinated liabilities	0	0
- of which subject to mandatory conversion and/or debt waiver	0	0

Off-balance sheet transactions

Irrevocable commitment	2,000	2,000
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 R. Marini

IBKR FINANCIAL SERVICES AG
Statement of Financial Condition
Swiss Banking Regulatory Format
For the Six Months ending June 30, 2023 and 2022
(Unaudited)

	For the period through June 30, 2023 CHF	For the period through June 30, 2022 CHF
<u>Income statement</u>		
Result from interest operations		
<i>Interest and discount income</i>	67,043,298	41,056,118
<i>Interest and dividend income from trading portfolios</i>	(773,337)	1,283,562
<i>Interest and dividend income from financial investments</i>	-	
<i>Interest expense</i>	(63,211,271)	(36,014,279)
<i>Gross result from interest operations</i>	3,058,690	6,325,401
<i>Changes in value adjustments for default risks and losses resulting from interest operations</i>	-	-
Subtotal net result from interest operations	3,058,690	6,325,401
Result from commission business and services		
<i>Commission income from securities trading and investment activities</i>	6,423,202	6,864,215
<i>Commission income from other services</i>	20,936,995	19,118,687
<i>Commission expense</i>	-	-
Subtotal result from commission business and services	27,360,197	25,982,902
Result from trading activities and the fair value option	(14,132,147)	(28,648,421)
Other result from ordinary activities		
<i>Other ordinary income</i>	128	233
<i>Other ordinary expenses</i>	-	-
<i>Income from participations</i>	-	-
Subtotal other result from ordinary activities	128	233
Operating expenses		
Personnel expenses	(22,544,965)	(19,388,702)
General and administrative expenses	(5,962,324)	(4,503,905)
Subtotal operating expenses	(28,507,289)	(23,892,607)
Gross loss	(12,220,421)	(20,232,492)
Value adjustments on participations and depreciation and amortisation of tangible fixed assets and intangible assets	(1,236,031)	(608,482)
Changes to provisions and other value adjustments, and losses	-	-
Operating result	(13,456,452)	(20,840,974)
Extraordinary income	-	-
Extraordinary expenses	-	-
Changes in reserve for general banking risks	-	-
Taxes	(199,542)	2,257,257
Profit/Loss	(13,655,994)	(18,583,717)

 R. Marini